

#4

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of:

Yoshitsugu ARAKI, et al.

Appln. No.: 09/864,082

Group Art Unit: 2651

Confirmation No.: 1799

Examiner: Not Yet Assigned

Filed: May 24, 2001

For: MULTILAYER OPTICAL RECORDING MEDIUM AND RECORDING METHOD  
AND APPARATUS FOR THE SAME

SUBMISSION OF FORMAL DRAWINGS

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith please find 4 sheets of formal drawings. The Examiner is respectfully requested to acknowledge receipt of these formal drawings.

Respectfully submitted,

Darryl Mexic  
Registration No. 23,063

SUGHRUE, MION, ZINN,  
MACPEAK & SEAS, PLLC  
2100 Pennsylvania Avenue, N.W.  
Washington, D.C. 20037-3213  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860  
DM/plr  
Date: September 24, 2001



**PATENT APPLICATION**

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**SUBMISSION OF EXECUTED DECLARATION, ASSIGNMENT & FILING FEE**

**ATTN: BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

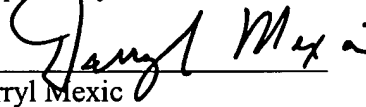
Sir:

In response to the "Notice to File Missing Parts of Nonprovisional Application filed under 37 CFR 1.53(b) - Filing Date Granted," mailed July 23, 2001, for the above application, Applicant respectfully submits herewith the Declaration for the above identified application properly executed by the inventors. Also enclosed please find Submission of Formal Drawings, four (4) sheets of drawings, Submission of Priority Document, one (1) priority document, an executed Assignment and PTO Form 1595.

Checks for the statutory fee of \$840.00 and Assignment recordation fee of \$40.00 are attached. Please also charge any underpayment or credit any overpayment to Deposit Account No. 19-4880. A duplicate copy of this paper is attached.

**The executed documents are being filed on Monday, September 24, 2001. Since the due date for filing these documents fell on a Sunday, the filing of the documents on Monday, September 24, 2001 is considered timely.**

Respectfully submitted,

  
Darryl Mexic  
Registration No. 23,063

SUGHRUE, MION, ZINN,  
MACPEAK & SEAS, PLLC  
2100 Pennsylvania Avenue, N.W.  
Washington, D.C. 20037-3213  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860  
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#3



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/864,082         | 05/24/2001          | Yoshitsugu Araki      | Q64550                 |

CONFIRMATION NO. 1799

## FORMALITIES LETTER



\*OC000000006329762\*

SUGHRUE, MION, ZINN, MACPEAK & SEAS, PLLC  
2100 Pennsylvania Avenue, N.W.  
Washington, DC 20037-3213

Date Mailed: 07/23/2001



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/25/2001 SDEHES31 00000042 09864082

01 FC:101  
02 FC:105

710.00 OP  
130.00 OP